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**TRANSMITTAL
FORM**

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Total Number of Pages in This Submission

6

Application Number

10/781,221

Filing Date

02/18/2004

First Named Inventor

Niu, Xi Xian

Art Unit

1746

Examiner Name

Jonathan Crepeau

Attorney Docket Number

CNT Battery

ENCLOSURES (Check all that apply)

| | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|------------------------------|----------|-------|
| Firm Name | LAW OFFICES OF CLEMENT CHENG | | |
| Signature | /ClementCheng/ | | |
| Printed name | CLEMENT CHENG | | |
| Date | 11-10-2004 | Reg. No. | 45463 |

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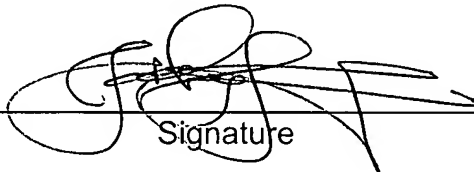
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GENERAL TRANSMITTAL FORM - 1 page

AMENDMENT AND RESPONSE TO OFFICE ACTION AND NOTICE OF
NON-COMPLIANT AMENDMENT - 4 pages

CERTIFICATE OF TRANSMISSION - 1 page

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Appl. No. # 10/781,221
Amdt. dated : 1/10/2004
Reply to OA of 9/14/2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: NIU, XI XIAN

) Examiner Jonathan Crepeau

Serial No.: 10/781,221

) Art Unit: 1746

Filed: 02/18/2004

) Attorney Docket Number: CNT Battery

For: **ACTIVE CARBON-BASED
NANTOUBE (CNT) BATTERY**

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Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**AMENDMENT AND RESPONSE TO OFFICE ACTION
AND NOTICE OF NON-COMPLIANT AMENDMENT**

Dear Examiner Crepeau:

In response to the Notice of Non-Compliant Amendment mailed 11/03/2004, please make the following amendments to the application.

Amendments to the Claims begin on page 2 of this paper

Remarks/Arguments begin on page 4 of this paper